



To be held at **17:30** on **Wednesday 20 January 2010**  
at the offices of: **Deloitte**, 4 Brindleyplace, Birmingham B1 2HZ

## **Third Party Assurance**

**Guest Speakers: Paul Felstead, KPMG**  
**Elaine Carr, Barclays Bank plc**

### **Third Party Assurance - current mechanisms and future challenges - Paul Felstead (KPMG)**

Insights into how assurance and management mechanisms over third party services are being used to manage risk and as part of the IT, security and governance framework, as well as the purpose and value of various types of third party assurance reports, such as certification, agreed upon procedures, and control assurance frameworks (eg. SAS 70, ITF, AAF). We will also discuss the challenges of combining assurance activities to provide an optimised level of an assurance.

Paul Felstead is a Principal Advisor within the Financial Services IT Advisory function and has been with KPMG since January 2008. Prior to re-joining KPMG, he worked at Experian Limited in Nottingham for 18 months within the Corporate Risk Function. Paul has a National Service Line Leadership role for IT Assurance Services in the UK, and within Europe. This focuses mainly on SAS 70 and other similar assurance engagements. Paul holds the ISACA CISM and CGEIT designations.

### **Identifying and managing third party risk - Elaine Carr (Barclays Bank plc)**

The purpose of the talk is to give an insight into what is third party risk, why it is important for companies to recognise this risk and what are some of the key controls to manage and mitigate exposure to and dependency on third parties.

Elaine Carr is currently the Head of Audit for Third Parties at Barclays Bank PLC. She has over twenty years audit experience working for banks, life and pensions companies and outsource service providers to the finance sector. During the last 8 years Elaine has specifically focused on auditing third party risk and significant on shore and offshore service providers.

**The CISA/CISM CPE credit for this meeting will be 1.5 hours.**

### **Meeting Agenda**

17.30 Registration, tea/coffee  
18.00 Guest Speakers  
19:45 Refreshments and informal networking.

### **Chapter meetings are free to ISACA members.**

*ICAEW IT Faculty members may attend for a charge of £10.00. Non members may attend for a charge of £25.00.*

### **Cheques should be made payable to 'ISACA' and sent to -**

Geoff Adey, KPMG LLP, One Snowhill, Snow Hill Queensway, Birmingham B4 6GH or brought to the meeting.

*To state your intention of attending this meeting and to ensure that adequate catering arrangements can be made, please email: [events@isaca-central.org.uk](mailto:events@isaca-central.org.uk) or use the link on the Contact Us page. . .*

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